

1725/8

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

SEP 25 2003

Docket No.  
1615

In Re Application Of: KERN, B.

Serial No.	Filing Date	Examiner	Group Art Unit
09/862,803	05/22/2001	LIN, K.	1725

Title: METHOD OF AND DEVICE FOR PRODUCING LIGHT METAL CASTINGS, IN PARTICULAR PATS...

RECEIVED

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OCT 03 2003

16 1700

## 37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

09/26/2003 0961EN 0090046 134675 09862803

01 FC:1808 180.00 D4

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 1615

SEP 25 2003

In Re Application: KERN, B.

Serial No.	Filing Date	Examiner	Group Art Unit
09/862,803	05/22/2001	LIN, K.	1725

**METHOD OF AND DEVICE FOR PRODUCING LIGHT METAL CASTINGS, IN PARTICULAR  
 PATS...**

RECEIVED

SEP 9 2003

IC 100

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account 19-4675 as described below.

- Charge the amount of \$180.00
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. ) on

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on SEP. 23, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

MICHAEL J. STRIKER

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Signature*

Dated: SEPTEMBER 23, 2003

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group: Attorney Docket # 1615

Applicant(s): KERN, B.

Serial No.: 09/862,803

Filed: 05/22/2001

For : METHOD OF AND DEVICE FOR PRODUCING LIGHT  
METAL CASTINGS...

RECEIVED  
OCT 9 3 2003  
10140

**INFORMATION DISCLOSURE STATEMENT**

September 22, 2003

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of a Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

Attached hereto is a FORM PTO 1449 listing the references.

Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification:

Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

page 2 of 2

X Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.

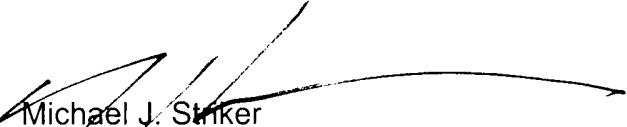
\_\_\_\_\_ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.

\_\_\_\_\_ Attached hereto copies of references cited which may be pertinent to this application. A corresponding US reference is also attached.

\_\_\_\_\_ Attached hereto is a Statement of Relevancy and copies of references cited therein.

X The relevancy of each reference can be found in the English language Abstract attached thereto

Respectfully submitted,



Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. 1615	SERIAL NO. 09/862,803	
				APPLICANT(S) KERN, B.		
FILING DATE 05/22/2001				GROUP 1725		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,663,730	05/16/1972	GATES			
	4,478,270	10/23/1984	ROSENTHAL ET AL			REF
	5,358,027	10/25/1994	CALLIHAN ET AL			SCT 03-2003
	4,550,617	11/05/1985	FRAIGNIER ET AL			IC
	5,400,931	03/28/1995	HOLTA ET AL			
	4,609,032	09/02/1986	PERRELLA			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	0 142 584 A1	05/29/1985	EP			✓
	36 10 497 A1	07/14/1988	DE (ENGLISH)			✓
	32 14 922 A1	11/03/1983	DE (ENGLISH ABSTRACT)			✓
	99/48637	09/30/1999	WO (ENGLISH ABSTRACT)			✓
	44 03 285	08/03/1995	DE (ENGLISH ABSTRACT)			✓
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
		PATENT ABSTRACT OF JAPAN 2-147147, JUNE 6, 1990.				
EXAMINER				DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>1615</b>	SERIAL NO. <b>09/862,803</b>			
				APPLICANT(S) <b>KERN, B.</b>				
<b>FILING DATE</b> <b>05/22/2001</b>				<b>GROUP</b>	<b>1725</b>			
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REVIEWER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
							<i>REC</i> <i>OCT 03 2003</i> <i>10-17-00</i>	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		<b>198 13 416 A1</b>	<b>09/30/1999</b>	<b>DE (ENGLISH ABSTRACT)</b>			<input checked="" type="checkbox"/>	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.